



Statement Reporting Period:
09/01/11 - 09/30/11

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

Statement for Account

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Announcements:

LEARN HOW TO MAKE MORE EDUCATED INVESTING DECISIONS, WHATEVER YOUR LEVEL OF EXPERIENCE: ATTEND OUR FREE IN-DEPTH WORKSHOPS, COMING TO YOUR AREA. SIGN UP NOW AT TDAMERITRADE.COM/WORKSHOP.

Portfolio Summary							Portfolio Allocation
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	
Cash	\$0.64	\$8.88	(\$8.24)	-	\$ -	-	
Insrd Dep Acct	602.84	573.36	29.48	5.1%	-	0.01%	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	62,114.85	65,723.61	(3,608.76)	(5.5)%	2,075.45	3.3%	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	128.52	157.50	(28.98)	(18.4)%	-	-	
Total	\$62,846.85	\$66,463.35	(\$3,616.50)	(5.4)%	\$2,075.45	3.3%	

Cash Activity Summary			Income & Expense Summary			Performance Summary	
	Current	YTD		Reportable	Non Reportable	YTD	
Opening Balance	\$8.88	\$ -	Income				Cost Basis As Of - 09/30/11 \$37,019.49
Securities Purchased	(997.49)	(4,746.66)	Dividends	\$218.83	\$ -	\$1,340.59	Unrealized Gains 4,626.54
Securities Sold	-	8,053.89	Interest	-	-	-	Unrealized Losses (6,086.36)
Funds Deposited	800.00	800.00	Other	-	-	171.00	Funds Deposited/(Disbursed) ^{YTD} (9,200.00)
Funds Disbursed	-	(10,000.00)	Expense				Income/(Expense) ^{YTD} 1,507.57
Income	218.83	1,511.59	Interest	-	-	-	Securities Received/(Delivered) ^{YTD} 0.00
Expense	(0.11)	(4.02)	Fees	-	-	-	
Other	(29.47)	4,385.84	Other	-	(0.11)	(4.02)	
Closing Balance	\$0.64	\$0.64	Net	\$218.83	(\$0.11)	\$1,507.57	

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Cash Management Summary		
Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ 800.00	\$ 800.00
Subtotal	800.00	800.00
DEBITS		
Electronic Transfer	\$ -	\$ (10,000.00)
Subtotal	0.00	(10,000.00)
TOTAL	800.00	(9,200.00)

Income Summary Detail*		
Description	Current	Year to Date
Ordinary Dividends	\$ 0.00	\$ 72.00
Foreign Dividend Tax Withheld	(0.11)	(4.02)
Limited Ptrs Return of Capital	0.00	171.00
Qualified Dividends	218.83	1,268.59
IDA Interest	0.01	0.62

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
ALTRIA GROUP INC COM	MO	100	\$ 26.81	\$ 2,681.00		\$ -	\$ - \$		\$ 164.00	6.1%
AMERICAN INTL GROUP INC COM	AIG	40	21.95	878.00	08/24/09	1,375.59	34.39	(497.59)		
APPLEINC COM	AAPL	5	381.32	1,906.60	03/17/10	1,133.94	226.79	772.66		
BERKSHIRE HATHAWAY INC DEL CL B	BRK B	125	71.04	8,880.00		-	-			

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Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
CISCO SYSTEMS INC COM	CSCO	50	15.50	775.00	02/20/08	1,151.46	23.03	(376.46)	12.00	1.5%
CITIGROUP INC COM	C	30	25.615	768.45	03/27/09	1,041.47	34.72	(273.02)	1.20	0.2%
CSX CORP COM	CSX	180	18.67	3,360.60	07/26/06	2,436.38	13.54	924.22	86.40	2.6%
DAKTRONICS INC COM	DAKT	250	8.58	2,145.00		-	-		27.50	1.3%
ELI LILLY & CO COM	LLY	50	36.97	1,848.50	06/16/10	1,712.96	34.26	135.54	98.00	5.3%
EXPRESS SCRIPTS INC COM	ESRX	25	37.07	926.75	09/22/11	997.49	39.90	(70.74)		
FORD MOTOR COM	F	50	9.67	483.50	03/16/11	722.47	14.45	(238.97)		
FRONTIER COMMUNICATIONS CORP COM	FTR	426	6.11	2,602.86	11/19/09	3,612.17	8.48	(1,009.31)	319.50	12.3%
GAMESTOP CORP COM	GME	20	23.10	462.00	09/20/06	494.99	24.75	(32.99)		
GENERAL ELECTRIC CO COM	GE	50	15.22	761.00	04/27/09	619.96	12.40	141.04	30.00	3.9%
HCP INC COM	HCP	50	35.06	1,753.00	04/21/10	1,715.63	34.31	37.37	96.00	5.5%
HEWLETT PACKARD CO COM	HPQ	100	22.45	2,245.00	05/19/10	4,725.89	47.26	(2,480.89)	48.00	2.1%
HONDA MOTOR LTD ADR NEW	HMC	50	29.15	1,457.50	04/20/06	1,665.99	33.32	(208.49)	32.25	2.2%

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Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
JOHNSON &JOHNSON COM	JNJ	50	63.69	3,184.50		-	-		114.00	3.6%
JOHNSON CTLS INC COM	JCI	25	26.37	659.25	06/17/09	520.98	20.84	138.27	16.00	2.4%
KINDER MORGAN ENERGY PARTNERS CM LP	KMP	50	68.38	3,419.00		-	-		230.00	6.7%
KRAFT FOODS INC CL A	KFT	50	33.58	1,679.00		-	-		58.00	3.5%
MOLSON COORS BREWING CL B	TAP	25	39.61	990.25	12/29/08	1,188.73	47.55	(198.48)	32.00	3.2%
PEPSICO INC COM	PEP	60	61.90	3,714.00		-	-		123.60	3.3%
PHILIP MORRIS INTL COM	PM	50	62.38	3,119.00	05/19/10	2,299.99	46.00	819.01	154.00	4.9%
PROCTER&GAMBLE CO COM	PG	20	63.18	1,263.60	02/16/06	1,211.39	60.57	52.21	42.00	3.3%
SANDSTORM GOLD LTD ORD	SNDXF	100	0.9994	99.94	08/17/11	156.44	1.56	(56.50)		
SILVER WHEATON CORP COM	SLW	25	29.45	736.25	04/20/06	292.75	11.71	443.50	3.00	0.4%
SPECTRA ENERGY CORP COM	SE	40	24.53	981.20		-	-		41.60	4.2%
STRYKER CORP COM	SYK	20	47.13	942.60	04/20/11	1,205.18	60.26	(262.58)	14.40	1.5%
SYSCO CORP COM	SY	50	25.90	1,295.00	05/19/10	1,518.47	30.37	(223.47)	52.00	4.0%

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Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Cash										
VERIZON COMMUNICATIONS COM	VZ	100	36.80	3,680.00	11/19/09	2,811.42	28.11	868.58	200.00	5.4%
WASTE MANAGEMENT INC COM	WM	20	32.56	651.20	11/19/09	651.58	32.58	(0.38)	27.20	4.2%
WEYERHAEUSER CO COM	WY	50	15.55	777.50	12/23/10	933.99	18.68	(156.49)	30.00	3.9%
YUM! BRANDS INC COM	YUM	20	49.39	987.80	05/19/10	822.18	41.11	165.62	22.80	2.3%
Total Stocks				\$62,114.85		\$37,019.49		\$(1,588.34)	\$2,075.45	3.3%
Other - Cash										
AMERICAN INTL GROUP INC WARRANT 01/19/2021 EXP 01/19/2021	AIG WS	21	\$ 6.12	\$ 128.52	01/20/11	\$ -	\$ -	\$ 128.52	\$	
Total Other				\$128.52		\$0.00		\$128.52	\$0.00	0.0%
Total Cash Account				\$62,243.37		\$37,019.49		\$(1,459.82)	\$2,075.45	3.3%

Cash Management Transaction Detail			
Category	Transaction Date	Description	Amount
CREDITS			
Electronic Transfer	09/22/2011	ACH IN	\$ 800.00
Subtotal			800.00
TOTAL			800.00

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									\$8.88
09/01/11	09/01/11	Cash	Journal - Other	PURCHASE FDICINSURED DEPOSIT ACCOUNT	-		\$ 0.00	\$ (8.88)	0.00
09/09/11	09/09/11	Cash	Div/Int - Income	ELI LILLY &CO COM Payable: 09/09/2011 QUALIFIED DIVIDENDS 24.50	LLY		0.00	24.50	24.50
09/09/11	09/09/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(24.50)	0.00
09/12/11	09/12/11	Cash	Div/Int - Income	SPECTRA ENERGY CORP COM Payable: 09/12/2011 QUALIFIED DIVIDENDS 10.40	SE		0.00	10.40	10.40
09/12/11	09/12/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(10.40)	0.00
09/13/11	09/13/11	Cash	Div/Int - Income	JOHNSON &JOHNSON COM Payable: 09/13/2011 QUALIFIED DIVIDENDS 28.50	JNJ		0.00	28.50	28.50
09/13/11	09/13/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(28.50)	0.00
09/15/11	09/15/11	Cash	Div/Int - Income	CSX CORP COM Payable: 09/15/2011 QUALIFIED DIVIDENDS 21.60	CSX		0.00	21.60	21.60
09/15/11	09/15/11	Cash	Div/Int - Income	WEYERHAEUSER CO COM Payable: 09/15/2011 QUALIFIED DIVIDENDS 7.50	WY		0.00	7.50	29.10
09/15/11	09/15/11	Cash	Div/Int - Income	MOLSON COORS BREWING CL B Payable: 09/15/2011 QUALIFIED DIVIDENDS 8.00	TAP		0.00	8.00	37.10
09/15/11	09/15/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(37.10)	0.00

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Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
09/21/11	09/22/11	Cash	- Funds Deposited	ACH IN	-		0.00	800.00	800.00
09/22/11	09/22/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(800.00)	0.00
09/23/11	09/23/11	Cash	Div/Int - Income	WASTE MANAGEMENT INC COM Payable: 09/23/2011 QUALIFIED DIVIDENDS 6.80	WM		0.00	6.80	6.80
09/23/11	09/23/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(6.80)	0.00
09/22/11	09/27/11	Cash	Buy - Securities Purchased	EXPRESS SCRIPTS INC COM	ESRX	25	39.4998	(997.49)	(997.49)
09/27/11	09/27/11	Cash	Journal - Other	REDEMPTION FDIC INSURED DEPOSIT ACCOUNT	-		0.00	997.49	0.00
09/30/11	09/30/11	Cash	Div/Int - Income	PEPSICO INC COM Payable: 09/30/2011 QUALIFIED DIVIDENDS 30.90	PEP		0.00	30.90	30.90
09/30/11	09/30/11	Cash	Div/Int - Income	FRONTIER COMMUNICATIONS CORP COM Payable: 09/30/2011 QUALIFIED DIVIDENDS 79.88	FTR		0.00	79.88	110.78
09/30/11	09/30/11	Cash	Journal - Other	PURCHASE FDIC INSURED DEPOSIT ACCOUNT	-		0.00	(110.78)	0.00
09/30/11	09/30/11	Cash	Div/Int - Income	SILVER WHEATON CORP COM Payable: 09/30/2011 QUALIFIED DIVIDENDS 0.75	SLW		0.00	0.75	0.75
09/30/11	09/30/11	Cash	Div/Int - Expense	SILVER WHEATON CORP COM CASH DIVIDEND Foreign Tax Adjustment Payable: 09/30/2011	SLW		0.00	(0.11)	0.64
Closing Balance									\$0.64

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

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Insured Deposit Account Interest Credited						
Begin Date	Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued	MTD PAID
09/01/11	\$ 582.24	8	0.0100	\$ -	\$ -	\$ -
09/09/11	606.74	3	0.0100	-	-	-
09/12/11	617.14	1	0.0100	-	-	-
09/13/11	645.64	2	0.0100	-	-	-
09/15/11	682.74	7	0.0100	-	-	-
09/22/11	1,482.74	1	0.0100	-	-	-
09/23/11	1,489.54	4	0.0100	0.01	0.01	-
09/27/11	492.05	3	0.0100	-	0.01	-
09/30/11	602.83	1	0.0100	-	0.01	0.01
Total Interest Income						\$0.01

Insured Deposit Account Activity								
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
Opening Balance								\$573.36
09/01/11		09/01/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			\$ 8.88	582.24
09/09/11		09/09/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			24.50	606.74
09/12/11		09/12/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			10.40	617.14
09/13/11		09/13/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			28.50	645.64
09/15/11		09/15/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			37.10	682.74

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Insured Deposit Account Activity								
Date Cleared	Check Number	Date Written	Transaction	Description	Tracking Code	Expense Code	Amount	Balance
09/22/11		09/22/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			800.00	1,482.74
09/23/11		09/23/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			6.80	1,489.54
09/27/11		09/27/11	Delivered	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT			(997.49)	492.05
09/30/11		09/30/11	Received	FDIC INSURED DEPOSIT ACCOUNT IDA01 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT			110.78	602.83
09/30/11		09/30/11	Received	Interest: Insured Deposit Account			0.01	602.84
Closing Balance								\$602.84
TD Bank NA								\$602.84

FDIC Insured Deposit Account (IDA) balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by TD Bank, N.A., or TD Bank USA, N.A., or both. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity/(Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Cash Management Transaction Detail: Cash management transactions that cleared during this statement reporting period, including check, debit card and ATM activity, are listed in date order.

Cash Management Summary: Credit and debit transaction totals for the current statement reporting period, are summarized. Individual transactions are not listed.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 402-970-7724.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov.

Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade, Inc. is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available on request at www.sipc.org. TD Ameritrade also provides \$149.5 million worth of protection for each client through supplemental coverage provided by London insurers. The \$149.5 million of coverage includes an additional \$900,000 limit on cash in the account. Each client is limited to a combined return of \$150 million from a Trustee, SIPC or London. The TD Ameritrade Insurance Policy does have an aggregate total coverage of \$250 million over all customers. This policy provides you coverage against theft following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its Information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. Non-Priced securities are indicated by "NP" and may affect statement balances and totals. The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Limited Partnerships and non-traded Real Estate Investment Trusts are generally illiquid and have no public markets; annual valuations are obtained from a third party or the general partner. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Non Standard Assets (NSA) are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. NSAs are typically illiquid investments and do not trade on a national securities exchange or the Nasdaq Stock Market. The values shown for these investments are estimated values derived from various methods, including, but not limited to, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. The values may represent an estimated value of the investor's participation in the program, as of a date no greater than 18 months prior to the date of this statement and they may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value is not

provided, valuation information was not available at the time of the issuance of the statement. For additional detail regarding valuation for Non-Standard Assets, please contact Client Services. These investments are not covered under the SIPC.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Allocation Method: In the event any of your securities are called or assigned, the securities will be selected on an impartial, random basis.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected market makers, brokers or dealers. Compensation is in the form of a per share cash payment. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com.

In case of Errors or Questions About Your Electronic Transfers Telephone us at 1-800-669-3900 or Write us at P.O. Box 2209, Omaha, NE 68103, as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 30 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.